Responsible Stewardship of Research Data

TAMU Research Data Management Resources

Division of Research
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Research data is defined by the U.S. Office of Management and Budget (OMB) Circular A-110/2CFR 215.36 as "the recorded factual material commonly accepted in the scientific community as necessary to validate research findings."

Examples of Research Data

- research notes, laboratory notebooks, textual information, numeric information
- images, audio and video recordings, diagrams, instrumental readouts, photos, reports, publications, samples, materials
- ➤ other recorded information necessary for the reconstruction and evaluation of reported results of research.
- It also includes the protocols, charts, graphs, and other information, events and processes that lead to results.

Exclusions from Sharing

Research data does not include:

- > preliminary analyses
- >drafts of scientific papers
- > plans for future research
- >peer reviews
- >communications with colleagues

Why is Responsible Stewardship of Research Data Important?

Ensuring research data are (FAIR)

Findable,

Accessible,

Interoperable,

And

Reusable

increases **rigor**, **public trust**, **innovation**, and **impact** of research.

For a deeper dive on Fair Guiding Principles https://go-fair.org/fair-principles/

FAIR Data

The 'FAIR Guiding Principles for Scientific Data Management and Stewardship,' published in 2016 in Scientific Data. The authors intended to provide guidelines to improve the Findability, Accessibility, Interoperability, and Reuse (FAIR) of digital assets.



Data and supplementary materials have sufficiently rich metadata and a unique and persistent identifier.

FINDABLE



Metadata and data are understandable to humans and machines. Data is deposited in a trusted repository.

ACCESSIBLE



Metadata use a formal, accessible, shared, and broadly applicable language for knowledge representation.

INTEROPERABLE



Data and collections have a clear usage licenses and provide accurate information on provenance.

REUSABLE

Images in the FAIR Data graphic are from Digitalbevaring.dk / Jørgen Stamp (CC BY 2.5 Denmark license)

Why is Responsible Stewardship of Research Data Important?

Increases the transparency of commitment from the university to the public.

Emphasizes the value of research and its societal impacts.

Aligns with increasing expectations from federal funding agencies, scholarly associations, and scientific journals for institutional management of research data.

Transparency promotes the university as well as attracts potential collaborators and sponsors.

TAMU Research Data Management Resources

TAMU Libraries

TAMU Technology Services

TAMU SAP The Responsible Stewardship of Research Data (15.99.03.M1.03)

TAMU Libraries Research Data Management Resources

TAMU Libraries Research Guides

<u>Overview - Research Data Management - Research</u> <u>Guides at Texas A&M University</u> Overview of Research Data Management

Find Data

Data Life Cycle

Data Management Planning

Define Research Data

Elements of a Plan

Funding Agency Guidelines

Sample Text

Sample Plans

DMPTool

Data Sharing Requirements

Data Repositories

FAIR Data

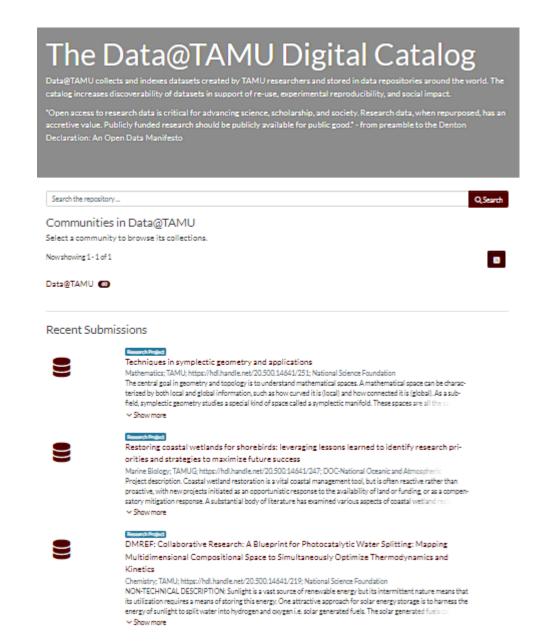
Additional Resources

Citing Data and Datasets

Data Management Workshops

Data@TAMU Research Data Catalog

Creation of an institutional research data catalog



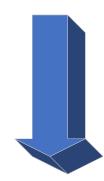
Pilot Phase I: Federally Sponsored Research

How will it work?

Sample Attributes

- Project Title
- Project Description
- Project Status (Active/Completed)
- Project Completed Date
- ProjectPls/Personnel
- Project College/School
- Project Personnel
- Project Sponsor

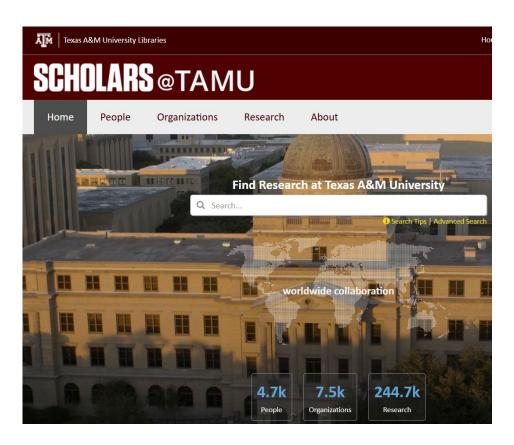
MAESTRO PROJECT DATA



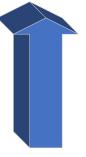
DSPACE CATALOG Pilot Phase I: Federally Sponsored Research

How will it work?

- Update Scholars profile to include active research along with active publications
- Assistance provided to create a Scholars profile if no profile exists



SCHOLARS@TAMU





Pilot Phase I: Federally Sponsored Research

How will it work?

Compliance attributes [non-public] Examples

- Data Custodian / Owner
- Project Data and Service Locations
 - TAMU Sponsored Cloud Storage (Google, OneDrive, AWS)
 - Departmental Storage / Aggiecloud
 - Existing services already in place to store specific data types
- Data Retention Review Date
- 3rd Party Access/Data Copy Requests where desired
- Data marked for move to centralized storage







TAMU Technology Services Resources

https://it.tamu.edu/

<u>Data Classification + Research Resources | IT.tamu.edu</u>

<u>Available Research Resources | IT.tamu.edu</u>

<u>Information on Policies, Standards or Specifications: IT Policy | IT.tamu.edu</u>

<u>STAR System: IT.tamu.edu/star</u>

***Please connect with your local departmental IT team for questions.

Data Classification Tool

Data Classification + Research Resources

Quickly identify the classification of research data and find campus resources that protect data while fulfilling research needs.

- Discover your data classification level.
- 2 Find available resources for your research. See Available Resources

Let's Begin!

Start a New Data Classification Report

Have an existing Data Classification Report?

Use an Existing Data Classification Report

Data & File Storage Options

Available Research Resources

Overview

Amazon S3 Storage

Texas A&M University provides numerous technology options to store data and files. Care must be taken to ensure the storage solution used meets the compliance standards for the type of data in your care. To comply with <u>SAP 29.01.03.M0.13 - Cloud Computing Services</u>, you must use a university-provided storage solution, and not a personal service account.

Specific colleges or campuses may have additional research resources. Reach out to your local IT department for a list of other available services.

To determine which resources are suitable for your research data and needs, begin a Data Classification Report now.

Start a Data Classification Report Resource HIPAA FERPA **Encrypted at Rest Encrypted in Transit** Use caution 0 Yes Yes Yes Aggie Cloud Aggie Cloud Use caution 0 Yes Amazon Elastic Block Store Use caution 0 Yes Amazon Elastic File System Use caution 0 Yes Yes Amazon Glacier Use caution 0 Yes Yes

IT POLICY

IT Policy

Defining rules and guidelines for information technology use across the Texas A&M campus.

Federal Regulatory IT Compliance

Policies, standards, or specifications defined and mandated by the Federal Government.

Laws and Regulations

A legal framework for conducting information technology business at Texas A&M University.

IT Policy Guide

IT Policy Guide at a local level and networking on the Texas A&M University campus.

Secure Technologies for Aggie Researchers (STAR)





TAMU STANDARD ADMINISTRATIVE PROCEDURE The Responsible Stewardship of Research Data (SAP15.99.03.M1.03)

Why is it required?

SAP 15.99.03.M1.03 The Responsible Stewardship of Research Data

"Federally sponsored research data must be retained for a minimum of 3 years after the financial report for the project period has been submitted or, for non-federally sponsored projects, a minimum of 3 years after the end of the project unless otherwise contractually specified. Non-sponsored research is subject to any applicable State of Texas records retention requirements..." [4.1]

[15.99.03.M1.03 Reference]

What is covered?

SAP 15.99.03.M1.03 The Responsible Stewardship of Research Data

"This SAP outlines the assignment of responsibilities, procedures and processes that will assure that research data is properly recorded, retained for the required period of time and made available for review as obligated."

Who is responsible?

SAP 15.99.03.M1.03 The Responsible Stewardship of Research Data

"The Office of the Vice President for Research is designated as the responsible office for research data management in coordination with the Data Management Officer (TAMU CISO) at Texas A&M University"

Who is responsible?

Principal Investigator Responsibility:

"the selection of the appropriate scope and methodology of collections, management, retention and archiving based on the type of research being conducted;" [2.2]

Dean/Department Head Responsibility:

"stewardship of research data by the principal investigator, or within the department, college or unit is managed responsibly ..." [2.3]

Significant Compliance Aspects Covered

- End of Project (EOP) data is <u>protected</u> for the appropriate duration. [4.1]
- EOP research data is stored in appropriate locations per data classification and required security controls with current custodian and owner information. [4.3]
- EOP data locations are <u>auditable and updated to remain current</u>. [4.3]
- Data is managed in accordance with University Standard Administrative Procedure 29.01.03.M0.01, Security of Electronic Information Resources and any additional requirements by the research sponsor. [4.4]
- Requested <u>access to EOP data is appropriately brokered</u> and recorded (may impact future citations or peer review). [4.5]
- 3rd party data and service agreements for the hosting or consumption of EOP data can be evaluated for proper protections applicable to TAMU, its researchers, and research subjects [4.5]
- Process for transfer of EOP data to other institutions upon separation from the university
 [5.1] or the <u>proper transfer of ownership</u> of original research data. [5.2]

[15.99.03.M1.03 Reference]

TAMU Library Research Guides and Data Catalog

TAMU Technology Services

TAMU SAP
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TAMU Technology Services
Local Departmental IT Teams

Thank You!

