

## Texas A&M University Sponsored Research Services Subrecipient Commitment Form

All subrecipients are required to complete and return this form to the Texas A&M System Member PreAward Contact listed. Please attach all required documents directly to this PDF file. As you complete the form, please note the following:



After you have attached your documents to the PDF, you can view, delete, or save them by clicking the paperclip icon on the left side of the navigation tab of the PDF;



Use the Save icon to save the PDF as a fillable file. Please DO NOT “print to PDF” or scan a hard copy of the form. Doing this will not allow the attachments to be viewed;



Electronic signatures are preferred. If printing and signing, please upload a scanned copy of the signature page to this file;

Submit

To return this document, press the submit button on the last page. This will attach the pdf in an email to the TAMUS PreAward Contact.



## SUBRECIPIENT COMMITMENT FORM

SUBRECIPIENT INSTITUTION	LEAD INSTITUTION
Institution Legal Name: (as registered in SAM.gov)	Institution Legal Name:
Principal Investigator:	Principal Investigator:
PreAward Contact Name: Email: _____ Phone: _____	PreAward Contact Name: Email: _____ Phone: _____
Type of Organization:	TAMUS Proposal Number:

PROJECT INFORMATION	
Project Title:	
Prime Sponsor:	
Solicitation Number:	
Subrecipient Project Period: _____	Amount Request: _____

PROPOSAL DOCUMENTS	
The following documents are included in our proposal submission	
Required Documents	As applicable per sponsor Requirements
Scope of Work	Key Personnel Biographical Sketch
Detailed Budget	Current & Pending Support
Budget Justification	Other

BUDGET INFORMATION
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**1. FACILITIES AND ADMINISTRATIVE RATES**

Subrecipient's federally-negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept.

Attached Available at:

Subrecipient chooses not to charge F&A costs although [2 CFR 200.414 \(f\)](#) states:

Any [non-Federal entity](#) that has never received a negotiated indirect cost rate, except for those non-Federal entities described in Appendix VII to Part 200 - [States](#) and [Local Government](#) and [Indian Tribe](#) Indirect Cost Proposals, paragraph D.1.b, may elect to charge a de minimis rate of 15% of modified total direct costs (MTDC) which may be used indefinitely.

15% MTDC De Minimis F&A rate

Other rates (Please specify the basis on which the rate has been calculated in Comments Section below)

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**2. FRINGE BENEFITS**

Rates consistent with or lower than our federally-negotiated rates

Attached Available at:

Other Rates (Please specify the basis on which these rates have been calculated in Comments Section below)

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**3. COST-SHARING**

Yes      No      Amount: \_\_\_\_\_ *Cost sharing amounts and justification should be included in the subrecipient's budget.*

## COMPLIANCE

### Our scope of work includes:

Human Subjects	Approval Date:	Pending
Animal Subjects	Approval Date:	Pending
Recombinant DNA/Transgenic Organisms	Approval Date:	Pending

*Subrecipient's IRB and/or IACUC approval must be provided to Texas A&M University's Sponsored Research Services Office.*

## AUDIT INFORMATION

Does the Subrecipient receive an annual audit in accordance with [2 CFR200 Subpart F](#)? YES NO

**If Yes:** Please submit a copy of your institution's most recent audit report, or the URL to a completed copy.  
Attached Available at:

Has the audit been completed for the most recent fiscal year? YES NO

Were any audit findings reported? (Please add comment below) YES NO

**If No:** Does the Subrecipient expend overall federal funding of at least \$750,000 per year? YES NO

Is the Subrecipient a:

Non-profit entity expending less than \$750,000 per year in Federal or Sub-Federal funds annually

For-profit entity that expends Federal or Sub-Federal funds and has a DCAA audited rate

For-profit entity that does not expend Federal funds or have annual audits

Foreign entity

If a for-profit entity, is the Subrecipient a:

Small business

Large business

**Note:** If a subrecipient does not receive an audit according to 2 CFR200 Subpart F, Texas A&M University Sponsored Research Services may require the entity to complete an Audit Certification and Financial Status Questionnaire, and may require a limited scope audit, before a subrecipient agreement will be issued.

### Contact information for audit questions:

Name:

Phone:

Email:

## CONFLICT OF INTEREST

### Conflict of Interest (COI) Select one:

Subrecipient organization certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of [42 CFR Part 50, Subpart E](#), "Responsibility of Applicants Promoting Objectivity in Research" **Subrecipient also certifies that, to the best of the Organization's knowledge: (1) all financial disclosures will be made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy, and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with the subrecipient's conflict of interest policy prior to the expenditures of any funds under any resultant agreement and within a timely manner sufficient to enable timely COI reporting.**

Not applicable; this project is not funded by PHS or any sponsor that has adopted the federal financial disclosure requirements.

We agree to enter into a subrecipient agreement with the TAMU System Member that includes the TAMUS regulations (see: [15.01.03 Financial Conflict of Interest in Research](#), which applies the requirement to all sponsored research) and citation to federal law ([42 C.F.R. Part 50, Subpart F](#))

We agree to enter into a subrecipient agreement with the TAMUS that certifies subrecipient's policy complies with federal law ([42 C.F.R. Part 50, Subpart F](#)). **NOTE: All subrecipient applicants for PHS funds must be in compliance at the time of the application submission.**

**RESPONSIBLE CONDUCT OF RESEARCH (RCR) (applicable to NSF, NIH, and USDA)**

Not applicable; this project is not funded by NSF, NIH, or USDA-NIFA

Not applicable; non-educational institution

If funded by NSF, the Subrecipient certifies, if applicable, that it maintains an Institutional Plan to meet NSF's Educational Requirements for the Responsible Conduct of Research, as required under the "America COMPETES Act" PUBLIC LAW 110-69-August 9, 2007. The Subrecipient certifies that it has a training program in place and will train all undergraduate and graduate students and postdocs in accordance with NSF's RCR training requirements.

If funded by NIH, the Subrecipient certifies, if applicable, that for NIH Grants for Training and Fellowship awards, the Subrecipient will monitor and maintain records for the individual training plans as proposed by Subrecipient, in accordance with NIH's RCR training requirements.

If funded by USDA-NIFA, the Subrecipient certifies, if applicable, that it maintains an Institutional Plan to meet USDA-NIFA's Educational Requirements for the Responsible and Ethical Conduct of Research, as required by NIFA Research Terms and Conditions (December 26, 2014), and the Subrecipient has a training program in place and will train all USDA-NIFA funded program directors, faculty, undergraduate students, graduate students, postdoctoral researchers, and any staff participating in the research project.

**CERTIFICATIONS**

By signing below, the authorized official of the Subrecipient certifies, to the best of his/her knowledge and belief, that:

**1. Certification Regarding Lobbying**

- i. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, or the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- ii. If any funds, other than Federal appropriated funds, have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to Texas A&M University Sponsored Research Services.

**2. Debarment, Suspension, and Other Responsibility Matters**

- i. Subrecipient certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- ii. Subrecipient certifies that it is not delinquent on any Federal debt.

**COMMENTS**

**APPROVED FOR SUBRECIPIENT**

The information, certifications, and representations above **have been read, signed, and made by an Authorized Organizational Representative of the Subrecipient Institution** named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policies in regard to a subrecipient agreement and are prepared to establish the necessary inter-institutional agreements consistent with those policies. **Any work begun and/or expenses incurred prior to execution of a subrecipient agreement are at the Subrecipient's own risk.**

_____ <b>Signature of Authorized Institutional Official</b>		<b>Address:</b>	
		<b>City &amp; State:</b>	<b>Zip+4:</b>
<b>Date</b>		<b>Phone:</b>	<b>Email:</b>
<b>Name and Title of Authorized Official:</b>			
<b>Name:</b>	<b>Title:</b>		

**Attachment 3B**  
**Subrecipient Contacts**

Subaward Number:

**Subrecipient Information for [FFATA](#) reporting**

Entity's UEI Name:

EIN No.:

Institution Type:

UEI:

Currently registered in SAM.gov:      Yes      No

Exempt from reporting executive compensation:      Yes      No *(if no, complete 3Bpg2)*

Parent UEI:

<i>This section for U.S. Entities:</i>	Zip Code <a href="#">Look-up</a>
Congressional District:	Zip Code+4:

Place of Performance Address

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**Subrecipient Contacts**

Central Email:

Website:

Principal Investigator Name:

Email:

Telephone Number:

Administrative Contact Name:

Email:

Telephone Number:

Financial Contact Name:

Email:

Telephone Number:

Invoice Email:

Authorized Official Name:

Email:

Telephone Number:

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**Legal Address:**

**Administrative Address:**

**Payment Address:**

**Attachment 3B-2**  
**Highest Compensated Officers**

Subaward Number:

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**Subrecipient:**

Institution Name:

PI Name:

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**Highest Compensated Officers**

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

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Officer 1 Name:

Officer 1 Compensation:

Officer 2 Name:

Officer 2 Compensation:

Officer 3 Name:

Officer 3 Compensation:

Officer 4 Name:

Officer 4 Compensation:

Officer 5 Name:

Officer 5 Compensation:

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